



UNITED STATES DEPARTMENT OF COMMERCE
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#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
|--------------------|---------------------|-----------------------|---------------------------|

09/374,721 08/13/99 KENTEN J IGN-2004

0232/0908

KEVIN M FARRELL PC
P O BOX 999
YORK HARBOR ME 03911

NOT ASSIGNED

1643

DATE MAILED:

09/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 4480.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.

☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 2862.00 for 159 total claims over 20.

\$ 468.00 for 6 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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| 01 FC:201 | 380.00 OP |
| 02 FC:202 | 234.00 OP |
| 03 FC:203 | 1431.00 OP |
| 04 FC:205 | 65.00 OP |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenten et al.

Serial Number: 09/374,721

Filing Date: August 13, 1999

Title: HEAT SHOCK FUSION-BASED VACCINE SYSTEM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 11/10/00
FARRELL & ASSOCIATES, P.C.

Sammy Wright 11/10/00

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

The Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Attn: Application Division

Sir:

In response to the Notice to File Missing Parts dated September 8, 1999, enclosed herewith is an executed Declaration/Power of Attorney for filing in the captioned application. A Petition for Extension of Time is also attached. A copy of the Notice is attached together with a check in the amount of \$2,240.00; \$65.00 in payment of the surcharge for a Small Entity, \$380.00 in payment of the statutory basic filing fee, \$1,665.00 in payment of the additional claims fees, and \$130.00 in payment of the multiple dependent claims fee. A Verified Statement Claiming Small Entity Status was filed on October 11, 1999.

Please charge Applicant's Attorney's Deposit Account No. 06-0130 for any additional fees that may be due in this matter.

Respectfully submitted,

Kevin M. Farrell

Kevin M. Farrell
Attorney for Applicant
Registration No.: 35,505
Telephone: (207) 363-0558

York Harbor, ME

Date: 11/9/00

IGN\ARC\2004\DEC\TL